

#3

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
WLJ.078

In Re Application Of: **Anand SRINIVASAN**

Serial No.
10/018,809

Filing Date
DEC. 21, 2001

Examiner
TO BE ASSIGNED

Group Art Unit
TO BE ASSIGNED

Title: **IMPROVEMENTS RELATING TO PLASMA ETCHING**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
WLJ.078

In Re Application: **Anand SRINIVASAN**

Serial No.
10/018,809

Filing Date
DEC. 21, 2001

Examiner
TO BE ASSIGNED

Group Art Unit
TO BE ASSIGNED

IMPROVEMENTS RELATING TO PLASMA ETCHING

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: **MARCH 25, 2002**

Signature

ADAM C. VOLENTINE
REG. NO. 33289

VOLENTINE FRANCO, PLLC
12200 SUNRISE VALLEY DRIVE, SUITE 150
RESTON, VA 20191

TEL. NO. (703) 715-0870

CC:

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
WLJ.078

Application Number
10/018,809

Applicant(s)
Anand SRINIVASAN

Filing Date
12/21/2001

Group Art Unit
TO BE ASSIGNED

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,534,109	07/09/1996	FUJIWARA et al.			
	B	5,527,425	06/18/1996	HOBSON et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	C	11-16896	01/22/1999	JAPAN			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	D	C.F. CARLSTROM, et al., "Trimethylamine: Novel source for low damage reactive ion beam etching of InP" Journal of Vacuum Science and Technology B, vol. 17, no. 6, November 1999 (1999-11), pages 2660-2663, XP002150318
	E	ELKIND J L et al., "Reactive Ion Etching of HGCDTE with Methane and Hydrogen" Journal of Vacuum Science and Technology: Part A, US, American Institute of Physics. new Yrok, vo. 10, no. 4, PT I, 1 July 1992 (1992-07-01), pages 1106-1112, XP000296215 ISSN: 0734-2101 page 1106, left-hand column, line 12-line 14.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.